

United States Bankruptcy Court
Southern District of New York
One Bowling Green
New York, NY 10004-1408
United States

Bank Vontobel AG
Gotthardstrasse 43
8022 Zurich
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F +41 58 283 5160
roger.fivat@vontobel.com

CHE-116.300.767 MWST (VAT)

1/1 Zurich, September 18, 2018

Evidence of Transfer of Claim

Dear Sir or Madam

Enclosed please find one form "Evidence of Transfer of Claim" with 37 positions.


Please note, that the mentioned Lehman Products are deposited in our bank.

Our claim number is: 67373

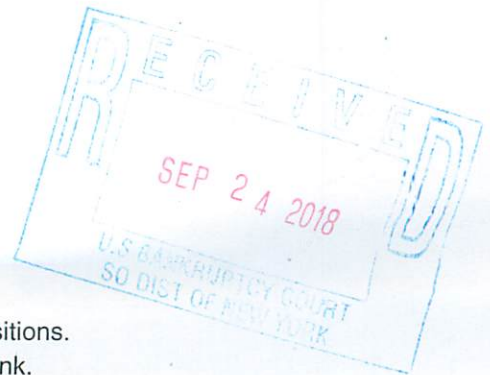
If you have any questions, don't hesitate to contact us.

Best regards

Bank Vontobel AG



Roger Fivat



Form 210A (10/06)

United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)



TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Bank Vontobel AG, Zurich / Switzerland

Name of Transferee

Name and Address where notices
to transferee should be sent:

Bank Vontobel AG
Gotthardstrasse 43
Corporate Actions
Postfach
CH-8022 Zurich / Switzerland

Phone: 0041 58 283 50 56

Last Four Digits of Acct #: _____

Notenstein La Roche Privatbank AG

Name of Transferor

Court Claim # (if known): _____

Date Claim Filed: not known

Amount of Claim: to be determined

Portion of Claim Transferred (see
Schedule I): USD 1'000'000.00

Phone: _____

Last Four Digits of Acct. #: _____

Name and Address where transferee
payments should be sent (if different
from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____

Transferee/Transferee's Agent

Date: September 18, 2018

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Bank Vontobel AG
Gotthardstrasse 43
CH-8022 Zürich

i. Idorvan

[Signature]

EVIDENCE OF TRANSFER OF CLAIM

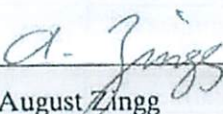
TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Notenstein La Roche Privatbank AG ("Transferor") unconditionally and irrevocably transferred to Vontobel AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claims in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON September 14th, 2018.

Notenstein La Roche Privatbank AG

By: 

Name: August Zingg

Title: Associate

By: 

Name: Roger Knöpfel

Title: Associate

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Claim/Schedule No.	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
CH0036891361	44593-12	October 29, 2009	Lehman Brothers Securities NV	USD 18000
CH0029197156	49651-03	October 29, 2009	Lehman Brothers Securities NV	CHF 10'000.--
CH0034774536	49651-03	October 29, 2009	Lehman Brothers Securities NV	USD 70000
DE000A0MJHE1	49651-03	October 29, 2009	Lehman Brothers Securities NV	35 x EUR 1'000.--
XS0251909635	49651-03	October 29, 2009	Lehman Brothers Securities NV	300 x CHF 59.95
XS0302351266	49651-03	October 29, 2009	Lehman Brothers Securities NV	CHF 20'000.--
XS0309835139	49651-03	October 29, 2009	Lehman Brothers Securities NV	100 x CHF 477.--
XS0320322901	49651-03	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000
XS0324890440	49651-03	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000
XS0324890440	49651-03	October 29, 2009	Lehman Brothers Securities NV	CHF 25'000
XS0324890440	49651-03	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000
XS0345288459	49651-03	October 29, 2009	Lehman Brothers Securities NV	USD 10000
XS0329633829	55813-28	October 29, 2009	Lehman Brothers Securities NV	USD 15'000.--
XS0274443422	55813-62	October 29, 2009	Lehman Brothers Securities NV	EUR 37000
XS0322794578	55814-39	October 29, 2009	Lehman Brothers Securities NV	USD 6'000.--
XS0323108265	55837-68	October 29, 2009	Lehman Brothers Securities NV	USD 50'000.--
XS0225326858	59233-59	October 29, 2009	Lehman Brothers Securities NV	CHF 50'000.--
XS0326427480	59233-59	October 29, 2009	Lehman Brothers Securities NV	CHF 75'000
XS0213416141	64055-07	October 29, 2009	Lehman Brothers Securities NV	EUR 50'000.--
XS0186243118	555829-80	October 29, 2009	Lehman Brothers Securities NV	CHF 30'000.--
XS0200265709	555829-80	October 29, 2009	Lehman Brothers Securities NV	CHF 40'000.--
XS0251180906	555829-80	October 29, 2009	Lehman Brothers Securities NV	CHF 30'000.--
XS0267329307	555829-80	October 29, 2009	Lehman Brothers Securities NV	50 x CHF 1'000.--
XS0319862818	555829-80	October 29, 2009	Lehman Brothers Securities NV	CHF 50'000.--
XS0322153270	555829-80	October 29, 2009	Lehman Brothers Securities NV	CHF 50'000.--
XS0324890440	559233-01	October 29, 2009	Lehman Brothers Securities NV	CHF 10'000.--
XS0200265709	559233-22	October 29, 2009	Lehman Brothers Securities NV	CHF 5'000
XS0279424310	559233-24	October 29, 2009	Lehman Brothers Securities NV	CHF 900
XS0337337710	5155829-04	October 29, 2009	Lehman Brothers Securities NV	CHF 30'000.--
XS0204933997	5155829-57	October 29, 2009	Lehman Brothers Securities NV	USD 500'000.--
CH0034774536	5159233-17	October 29, 2009	Lehman Brothers Securities NV	CHF 30'000.--
XS0326427480	5159233-34	October 29, 2009	Lehman Brothers Securities NV	CHF 25'000
XS0320322901	5159233-96	October 29, 2009	Lehman Brothers Securities NV	CHF 10'000.--
XS0324890440	5159233-96	October 29, 2009	Lehman Brothers Securities NV	CHF 40'000
XS0323535418	5255829-51	October 29, 2009	Lehman Brothers Securities NV	5 x USD 1'000
XS0326427480	5259233-55	October 29, 2009	Lehman Brothers Securities NV	CHF 20'000
XS0346007320	5459233-78	October 29, 2009	Lehman Brothers Securities NV	EUR 100'000